

**THE AMERICAN BOARD  
OF UROLOGY, INC.**



**2018  
INFORMATION FOR APPLICANTS  
FOR PEDIATRIC SUBSPECIALTY  
CERTIFICATION**

**NINTH EDITION**

**Gerald H. Jordan, M.D.**

Executive Secretary

600 Peter Jefferson Parkway, Suite 150

Charlottesville, VA 22911

434/979-0059



A Member Board of the  
American Board of Medical Specialties (ABMS)

**EXAMINATION DATES\*:**

October 12 or October 19, 2018

October 12 or October 18, 2019

\*These dates are subject to change.

**APPLICATION FILING DEADLINES:**

See Back Cover

**THIS HANDBOOK IS SUBJECT TO CHANGE.**

The Board reserves the right to change dates, procedures, policies, requirements, and fees without notice or issuance of a new handbook. *Please consult the office of the Executive Secretary whenever necessary.*

**CHANGE OF ADDRESS:**

**It is the responsibility of the Diplomate to insure the Board office has current phone numbers, and postal and email addresses.**

**ADDRESS ALL CORRESPONDENCE TO:**

**Gerald H. Jordan, M.D.**

*Executive Secretary*

American Board of Urology

600 Peter Jefferson Parkway, Suite 150

Charlottesville, VA 22911

Phone: 434/979-0059

Fax: 434/979-0266

*www.abu.org*

## TABLE OF CONTENTS

MISSION STATEMENT .....	2
OFFICERS AND TRUSTEES 2018-2019 .....	5
EMERITUS TRUSTEES .....	6
ORGANIZATION .....	10
PURPOSE OF CERTIFICATION .....	11
FUNCTIONS OF THE BOARD.....	11
SUBSPECIALTY CERTIFICATION .....	12
EDUCATIONAL REQUIREMENTS .....	14
OTHER REQUIREMENTS.....	16
THE PEDIATRIC SUBSPECIALTY CERTIFICATION EXAMINATION	21
IRREGULAR EXAMINATION BEHAVIOR.....	22
FEES AND DEADLINES .....	23
LIFE LONG LEARNING PROGRAM .....	27
PSCE IMMERSION .....	27
POLICIES.....	27
PROFESSIONALISM AND ETHICS.....	27
CODE OF ETHICS .....	29
DISCIPLINARY ACTION .....	32
APPEALS PROCEDURE.....	35
APPLICABLE LAW .....	39
FINAL ACTION OF THE BOARD.....	40
"BOARD ELIGIBLE" STATUS.....	40
INQUIRY OF STATUS .....	41
UNFORSEEABLE EVENTS.....	41
LLL REQUIREMENTS.....	42

## **MISSION STATEMENT**

The mission of the American Board of Urology is to act for the benefit of the public to insure high quality, safe, efficient and ethical practice of Urology by establishing and maintaining standards of certification for urologists.

## **CHANGE OF ADDRESS POLICY**

The processes of Certification, Recertification, Subspecialty Certification, and Life Long Learning (LLL) Program have become increasingly complex, requiring significant exchanges of information between the American Board of Urology and its Diplomates. For many reasons, standard mail, telephone calls, and faxes have become inefficient. The cost involved is significant for the Board, having the potential to influence fees.

It is imperative that the American Board of Urology has current, accurate mailing and electronic contact information for all Diplomates, including those with time unlimited certificates, those in recertification, those in subspecialty certification, and those in LLL. It is the obligation of the Diplomate to maintain that information with the ABU. Failure to do so compromises the Board's ability to transfer important information to the Diplomate and currency in LLL, recertification, or certification could be impacted. Diplomates are required to verify their contact information annually and if one's information changes, the ABU must be notified. A lapse in this information could result in the revocation of your certificate.

**American Board of Urology  
Officers and Trustees 2017-2018**

- President:**                   **H. Ballentine Carter, M.D.**  
600 N Wolfe St  
Johns Hopkins Hospital  
Marburg 100  
Baltimore, MD 21287
- Vice President:**           **Fred Govier, M.D.**  
Virginia Mason Medical Center  
1100 9<sup>th</sup> Avenue, C7-URO  
Seattle, WA 98111
- President-Elect:**           **Stephen Y. Nakada, M.D.**  
1685 Highland Avenue  
Suite 3241  
Madison, WI 53705
- Secretary-Treasurer:**   **David B. Joseph, M.D.**  
Children's of Alabama  
1600 7<sup>th</sup> Ave South  
Birmingham, AL 35233

Roger R. Dmochowski, M.D., Nashville, TN  
Fred E. Govier, M.D., Seattle, WA  
Douglas A Husmann, M.D., Rochester, MN  
Gary E. Lemack, M.D., Dallas, TX  
Joel B. Nelson, M.D., Pittsburgh, PA  
Eila C. Skinner, M.D., Stanford, CA  
Martha K. Terris, M.D., Augusta, GA  
Hunter B. Wessells, M.D., Seattle, WA

**American Board of Urology  
Officers and Trustees 2018-2019**

- President:                   **Stephen Y. Nakada, M.D.**  
1685 Highland Avenue  
Suite 3241  
Madison, WI 53705
- Vice President:           **Mark S. Austenfeld, M.D.**  
4321 Washington  
#5300  
Kansas City, MO 64111
- President-Elect:           **David B. Joseph, M.D.**  
Children's of Alabama  
1600 7<sup>th</sup> Ave South  
Birmingham, AL 35233
- Secretary-Treasurer:   **Roger R. Dmochowski, M.D.**  
Vanderbilt University Medical Center  
A1302 Medical Center North  
Nashville, TN 37232

Christopher L. Amling, M.D., Portland, OR  
Douglas A Husmann, M.D., Rochester, MN  
Gary E. Lemack, M.D., Dallas, TX  
Joel B. Nelson, M.D., Pittsburgh, PA  
Eila C. Skinner, M.D., Stanford, CA  
Martha K. Terris, M.D., Augusta, GA  
Hunter B. Wessells, M.D., Seattle, WA  
J. Stuart Wolf, M.D., Austin, TX

## EMERITUS TRUSTEES

- \* Dr. William F. Braasch, 1935-1940
- \* Dr. Henry Bugbee, 1935-1945
- \* Dr. Gilbert J. Thomas, 1935-1953
- \* Dr. Herman L. Kretschmer, 1935-1943
- \* Dr. Nathaniel P. Rathbun, 1935-1946
- \* Dr. George Gilbert Smith, 1935-1950
- \* Dr. Clarence G. Bandler, 1935-1949
- \* Dr. A. I. Folsom, 1935-1946
- \* Dr. T. Leon Howard, 1935-1946
- \* Dr. Harry Culver, 1943-1956
- \* Dr. George F. Cahill, 1944-1954
- \* Dr. E. Granville Crabtree, 1946-1948
- \* Dr. A. I. Dodson, 1946-1955
- \* Dr. Charles C. Higgins, 1946-1952
- \* Dr. Grayson Carroll, 1947-1961
- \* Dr. Edgar Burns, 1948-1959
- \* Dr. Thomas D. Moore, 1949-1958
- \* Dr. Roger C. Graves, 1950-1951
- \* Dr. Rubin H. Flocks, 1952-1975
- \* Dr. William Niles Wishard, Jr., 1953-1969
- \* Dr. Donald A. Charnock, 1954-1962
- \* Dr. William P. Herbst, Jr., 1955-1963
- \* Dr. Frank C. Hamm, 1956-1964
- \* Dr. Wyland F. Leadbetter, 1957-1965
- \* Dr. Robert Lich, Jr., 1958-1976
- \* Dr. Hugh J. Jewett, 1960-1966
- \* Dr. W. E. Kittredge, 1962-1970
- \* Dr. Thomas E. Gibson, 1963-1971
- \* Dr. James H. McDonald, 1963-1981
- \* Dr. Victor F. Marshall, 1964-1973
- \* Dr. J. Hartwell Harrison, 1965-1974
- \* Dr. W. Dabney Jarman, 1966-1975
- \* Dr. William L. Valk, 1969-1978
- \* Dr. Clarence V. Hodges, 1971-1980
- \* Dr. Russell Scott, Jr., 1971-1979
- \* Dr. Ormond S. Culp, 1972-1977



**EMERITUS TRUSTEES, *continued***

- \* Dr. Ralph A. Straffon, 1974-1980
- \* Dr. J. Tate Mason, 1974-1980
- \* Dr. Lowell R. King, 1974-1980
- \* Dr. Willard E. Goodwin, 1975-1981
- \* Dr. William J. Staubitz, 1975-1981
- Dr. C. E. Carlton, Jr., 1975-1982
- \* Dr. James F. Glenn, 1976-1982
- \* Dr. David C. Utz, 1977-1983
- \* Dr. John T. Grayhack, 1978-1984
- \* Dr. Alan D. Perlmutter, 1979-1985
- \* Dr. Frank J. Hinman, Jr., 1979-1985
- \* Dr. William H. Boyce, 1980-1986
- \* Dr. Joseph B. Dowd, 1980-1986
- \* Dr. Paul C. Peters, 1980-1986
- \* Dr. Bruce H. Stewart, 1981-1983
- \* Dr. John D. Young, 1981-1987
- \* Dr. Abraham T.K. Cockett, 1981-1987
- Dr. Jay Y. Gillenwater, 1982-1988
- \* Dr. Joseph J. Kaufman, 1982-1988
- \* Dr. Russell Lavengood, 1983-1988
- \* Dr. Winston K. Mebust, 1983-1989
- \* Dr. John P. Donohue, 1984-1990
- Dr. E. Darracott Vaughan, Jr., 1984-1990
- Dr. George W. Drach, 1985-1991
- \* Dr. John W. Duckett, Jr. 1985-1991
- Dr. Terry E. Allen, 1986-1992
- Dr. Robert P. Gibbons 1986-1992
- Dr. Stuart S. Howards 1987-1993
- Dr. Patrick C. Walsh 1987-1993
- Dr. Jean B. deKernion 1988-1994
- Dr. Carl A. Olsson 1988-1994
- Dr. David L. McCullough 1989-1995
- Dr. Drogo K. Montague 1989-1995
- Dr. W. Scott McDougal 1990-1996
- Dr. Alan J. Wein 1990-1996
- Dr. Jack W. McAninch 1991-1997
- Dr. George W. Kaplan 1991-1997

**EMERITUS TRUSTEES, *continued***

Dr. Joseph N. Corriere, Jr., 1992-1998  
Dr. Jerome P. Richie 1992-1998  
Dr. H. Logan Holtgrewe 1993-1999  
Dr. Kenneth A. Kropp 1993-1999  
Dr. David M. Barrett 1994-2000  
\* Dr. Richard D. Williams 1994-2000  
\* Dr. Andrew C. Novick 1995-2001  
\* Dr. Thomas J. Rohner, Jr., 1995-2001  
Dr. John M. Barry, 1996-2002  
\* Dr. Fray F. Marshall, 1996-2002  
Dr. Michael E. Mitchell, 1997-2003  
\* Dr. Martin I. Resnick, 1997-2003  
Dr. Paul F. Schellhammer, 1998-2004  
Dr. Robert M. Weiss, 1998-2004  
Dr. Michael J. Droller, 1999-2005  
Dr. Joseph A Smith, Jr., 1999-2005  
Dr. Robert C. Flanigan, 2000-2006  
Dr. Mani Menon, 2000-2006  
Dr. Peter C. Albertsen, 2001-2007  
Dr. Linda M. Shortliffe, 2001-2007  
Dr. Peter R. Carroll, 2002-2008  
Dr. Howard M. Snyder, 2002-2008  
Dr. W. Bedford Waters, 2003-2009  
Dr. David A. Bloom, 2003-2009  
Dr. Michael O. Koch, 2004-2010  
Dr. Paul H. Lange, 2004-2010  
\* Dr. William D. Steers, 2005-2011  
Dr. Ralph V. Clayman, 2005-1011  
Dr. Timothy B. Boone, 2006-2012  
Dr. Gerald H. Jordan, 2006-2012  
Dr. John B. Forrest, 2007-2013  
Dr. Barry A. Kogan, 2007-2013  
Dr. Margaret S. Pearle, 2008-2014  
Dr. Robert R. Bahnson, 2008-2014  
Dr. Michael L. Ritchey, 2009-2015  
Dr. Peter N. Schlegel, 2009-2015  
Dr. Ian M. Thompson, Jr., 2010-2016

**EMERITUS TRUSTEES, *continued***

Dr. J. Brantley Thrasher, Sr., 2010-2016

Dr. J. Christian Winters, 2011 – 2017

Dr. Kevin R. Loughlin, 2011 - 2017

*\*Deceased*

## **ORGANIZATION**

The American Board of Urology was organized in Chicago on September 24, 1934. Members of the Board present from the American Association of Genitourinary Surgeons were Dr. William F. Braasch, Dr. Henry G. Bugbee, and Dr. Gilbert J. Thomas; those from the American Urological Association were Dr. Herman L. Kretschmer, Dr. Nathaniel P. Rathbun, and Dr. George Gilbert Smith; those from the Section of Urology of the American Medical Association were Dr. Clarence G. Bandler, Dr. A. I. Folsom, and Dr. T. Leon Howard.

The officers of the Board elected at this meeting were Dr. Herman L. Kretschmer, President; Dr. Clarence G. Bandler, Vice President; and Dr. Gilbert J. Thomas, Secretary-Treasurer.

The American Board of Urology is a nonprofit organization. It was incorporated May 6, 1935, and held its first legal meeting on May 10, 1935.

The Board of Trustees has twelve members (including officers). No salary is paid for service on the Board.

The nominating societies of this Board and sponsors of its activities are: the American Urological Association, the American Association of Genitourinary Surgeons, the American Association of Clinical Urologists, the Society of University Urologists, the American College of Surgeons, and the Section on Urology of the American Academy of Pediatrics.

The American Board of Urology and 23 other medical specialty boards are members of the American Board of Medical Specialties (ABMS), which includes as associate members the Association of American Medical Colleges, the American Hospital Association, the American Medical Association, the Federation of State Medical Boards of the U.S.A., the National Board of Medical Examiners, and the Council of Medical Specialty Societies.

The trademark and seal of the American Board of Urology are registered. Any unauthorized use of the trademark or seal is prohibited without express written permission of the Board.

U.S. CORPORATION CO., DOVER, DELAWARE (Local  
Representation at Dover, Delaware)

## **PURPOSE OF CERTIFICATION**

The American Board of Urology, Inc., hereinafter sometimes referred to as “the Board” or the “ABU” is organized to encourage study, improve standards, and promote competency in the practice of urology. The objective of the Board is to identify for the public’s knowledge those physicians who have satisfied the Board’s criteria for certification, maintenance of certification, and recertification in the specialty of urology, as well as the subspecialties of Pediatric Urology and Female Pelvic Medicine and Reconstructive Surgery.

Certification by the Board does not guarantee competence in practice, but does indicate that the physician has completed basic training requirements and has demonstrated at the time of examination a fund of knowledge and expertise in the care of those patients whose cases were reviewed by the Board, as described elsewhere in this handbook. Application for certification is completely voluntary. Some certified and all subspecialty certified physicians are required to meet the requirements of Maintenance of Certification (LLL). Certification of these Diplomates involved in LLL verifies that these Diplomates are in an ongoing process of Life Long Learning Program and practice verification as well as demonstrating knowledge by passing examinations.

## **FUNCTIONS OF THE BOARD**

The Board evaluates candidates who are duly licensed to practice medicine, and arranges and conducts examinations for the purpose of certification, subspecialty certification, recertification, and ongoing maintenance of certification. Certificates are conferred by the Board to candidates who successfully complete all requirements for a given certificate. All certificates are the property of the Board, and the Board

holds the power to censure, suspend, or revoke such certificates.

The Board endeavors to serve the public, hospitals, medical schools, medical societies, and practitioners of medicine by preparing a list of urologists whom it has certified. Lists of Diplomates of this Board are published annually in *The Official ABMS Directory of Board Certified Medical Specialists* and in the *Directory of Physicians of the American Medical Association*.

The Board is not responsible for opinions expressed concerning an individual's credentials for the examinations or status in the certification process unless they are expressed in writing and signed by the President or Executive Secretary of the Board.

Application for certification is strictly voluntary. The Board makes no attempt to control the practice of urology by license or legal regulation, and in no way interferes with or limits the professional activities of any duly licensed physician.

### **SUBSPECIALTY CERTIFICATION**

Applicants approved by the Board to enter the process of subspecialty certification in pediatric urology must be engaged in the active practice of urology, must hold a current unrestricted general certificate in urology issued by the American Board of Urology, and must meet the requirements for pediatric urology subspecialty certification outlined below.

Domains of pediatric urology education include the following areas with relation to diagnosis, management, treatment and prevention of pediatric urologic disorders and promotion of health:

- Ethics and professionalism
- Genetics
- Endocrinology
- Renal disease
- Urinary infection and management

- Fetal, perinatal, congenital, child and adolescent genitourinary abnormalities and diseases
- Congenital and acquired neurologic diseases affecting the urinary tract and urodynamics
- Imaging: diagnostic, interventional and therapeutic
- Pathology
- Pain management
- Developmental anatomy, physiology
- Trauma
- Calculus disease
- Operative techniques: Open surgery, endoscopy, laparoscopy, robotic

All subspecialty certificates will be time limited and subject to LLL. When a Diplomate becomes certified in a subspecialty, the expiration date of the Diplomate's general urology certificate will be extended to coincide with the expiration date of the subspecialty certificate. The Diplomate will enter the LLL process upon completing subspecialty certification.

The Pediatric Urology Subspecialty Certification examination is offered annually.

### **Subspecialty Certification of Internationally Trained Urologists**

Internationally trained urologists in very specific educational roles and with exceptional clinical skills may apply to the American Board of Urology for a variance to enter the certification and subspecialty certification processes. The ABU views this situation to be extraordinary and will approve or disallow the variance for certification and subspecialty certification on a case by case basis. To be considered for such a subspecialty variance, the applicant must have:

- provided extraordinary FPMRS (or Pediatric Urology depending on the handbook) clinical and educational full-time service for at least 7 years in an RRC-approved participating institution within an ACGME-approved urology residency program.

- achieved the rank of full professor within that urology department/division through a credible university promotion process.
- received the written approval of the urology chair and the program director.
- in the eyes of the Board demonstrated outstanding academic achievement in the field of urology and urologic education with expectation for continued contributions.
- successfully completed initial certification.
- at least 75% immersion into the subspecialty based on case log submission.

### **OTHER REQUIREMENTS**

**Credentials approval:** Applicants for subspecialty certification must be approved by the Pediatric Subspecialty Certification Credentials Committee of the Board. Additional information may be requested by the Executive Secretary. No duty or obligation to assist any applicant in completing the application process is implied. The applicant is responsible for ensuring that all supporting documents are received in the Board office by the indicated time.

### **EDUCATIONAL REQUIREMENTS**

An applicant may initiate application for subspecialty certification in pediatric urology by the American Board of Urology during the application period after completing at least 24 months in a pediatric urology training program consisting of:

1. An ABU approved pediatric urology residency training program accredited by the ACGME or an AFC-diploma program of the RCPS(C), that includes a minimum of 12 months of clinical training in all domains of pediatric urology; and



2. At least 12 additional months of training or scholarly work applicable to pediatric urology that may include the study of epidemiology, clinical trials, biostatistics, clinical outcomes, health services, and/or other forms of basic and clinical research in pediatric urology. This additional year of training may be devoted to research, clinical work, or any combination of the two.

**Documentation of education and training:** The application must be accompanied by a copy of documentation demonstrating successful completion of a pediatric urology program that conforms to the Board's requirements stated above.

The Director of the program where the applicant completed pediatric urology residency fellowship must provide an evaluation stating that the applicant is an acceptable candidate for pediatric urology subspecialty certification. The Board will supply this evaluation form. It must be received by the Board office directly from the Residency Director by July 1. If an applicant receives a variance from the Board to complete his/her non-accredited 2nd year at a different institution, an evaluation form must be received by the Board from both Directors.

The ACGME accredited fellowship year and the non-accredited 2<sup>nd</sup> year should be done consecutively at the same institution/program. A resident may apply for a waiver from the ABU to transfer programs to complete their fellowship at a different institution. The second institution should have an ACGME approved pediatric urology fellowship program. Exceptions could be made to allow the non-accredited year to be completed at another institution if the candidate can provide documentation that another institution could provide exceptional training in epidemiology, clinical trials, biostatistics, clinical outcomes, health services, or basic research.

The candidate must submit a written request to the Pediatric Urology Advisory Council (PUAC) for a variance to transfer to another institution 6 months in advance of the transfer. The PUAC will review the request, assess the supportive academic infrastructure of the proposal, and make a recommendation to the ABU. If the variance is granted, the

ABU will allow the candidate into the certification process if he/she meets all other requirements. The Fellow does not need written approval from the current Director, but must notify the ABU of transfer in writing six months prior to move and copy the current program director and Designated Institutional Official (DIO).

### **OTHER REQUIREMENTS**

**Application:** A completed standard application form for the current examination cycle must be submitted by the applicant.

Applications, practice logs, and the \$1845 application fee must be received in the Board office by March 1. Late applications will be accepted with a \$750 late fee from March 2 – March 15. No applications or practice logs will be accepted after March 15.

All questions on the application must be completed and appropriate documentation attached regarding any adverse actions in licensure, past and pending malpractice and professional responsibility suits and their outcome, appearance before hospital disciplinary boards or adverse actions regarding hospital privileges, and any substance abuse or chemical dependency problems.

Any applicant for subspecialty certification who does not respond to all questions on the application or who misrepresents the information requested will, at a minimum, be deferred from the process for two years, and may also be subject to disciplinary action as explained in the sections on the Code of Ethics and Disciplinary Action presented later in this handbook.

**Licensure requirements:** Applicants seeking subspecialty certification by the American Board of Urology must have a valid United States or Canadian medical license, from the state or province in which they practice, that is not subject to restrictions, conditions or limitations. The applicant must inform the Board of any conditions or restrictions in force on any active medical license he or she holds. When there is a restriction or condition in force on any of the applicant's medical licenses, the Board will determine whether the applicant satisfies the

licensure requirement.

**Practicing outside the US:** Following certification, diplomates who practice outside of the United States and its territories, or Canada, will be considered “clinically inactive”. They must comply with LLL and remain in contact with the ABU office on an annual basis. If these requirements are met, they can re-enter the LLL process at an appropriate level when they reacquire their state license and return to active clinical practice in the United States. If the Diplomate practices outside the United States or its territories for more than ten years and his/her certificate lapses, the Diplomate will be required to follow the current expired certificate reentry policy.

**Practice log:** Candidates for subspecialty certification must be in the active practice of urology. Applicants will be required to provide the Board with an electronic log of 12 months in length to include all office visits, as well as all hospital, ambulatory care, and office procedures for each facility where they practice, for the same consecutive twelve-month period within the two-year period between March 1, 2016 and February 29, 2018. The log must demonstrate that a minimum of 75% of the candidate’s practice is dedicated to pediatric urology, and/or congenitalism\*, and/or the candidate has an adequate number of major pediatric urologic surgery cases as determined by the Board.

**All logs must be received in the Board office by March 1 along with the application.** Applicants will be assessed a \$750 late fee for logs received between March 2 and March 15. No practice logs will be accepted after March 15.

Candidates deferred on the basis of their practice log must submit a new log with their next application. Logs must be prepared in accordance with the format provided by the Board.

**Log Resubmission Policy:** All logs must be provided in the format prescribed by the Board and must be received by the deadline. **Logs must be verified by the candidate and notarized.** It is imperative that you carefully review the data contained in your log submission. Your signature is required on a Practice Log Verification Statement attesting

that you have reviewed the data contained in your log submission and that it is a true, complete, and accurate log of your consecutive office visits and surgical procedures for the required time period. If, following review by the ABU Committee charged with reviewing logs, it becomes necessary to repeat processing on a log submission due to errors, oversights, or omissions, a \$500 fee will be assessed for this process.

Detailed instructions for completing the electronic log are included in the application packet on the Board's website: [www.abu.org](http://www.abu.org). A downloadable template is also available on the Board's website.

\*care of adult patients with congenital problems

All logs must include the following information:

- 1) Name of location and type of facility where patient encounter occurred
- 2) Medical record number or other unique identifier
- 3) Age of patient in years
- 4) Gender of patient
- 5) Date of service
- 6) Diagnosis code(s)
- 7) Procedure or office visit code(s)

These completed documents must also accompany the application and log and be submitted to the Board office on or before March 15:

- 1) Completed Practice Breakdown form
- 2) Log Verification Statement with notarized signature
- 3) Complications narratives

On the basis of practice log review and other file information, the Board may, at its discretion, request copies of specific hospital and/or office records. The applicant shall be responsible for providing requested patient records, and is expected to furnish them within the time frame specified by the Board. The candidate shall ensure that the patient records so disclosed do not contain any patient-identifying information.

**Continuing Medical Education (CME) requirements:** Applicants for

pediatric subspecialty certification must document a minimum of 90 hours of CME credit (30 hours pediatric urology focused Category 1 CME and 60 hours Category 1 or Category 2 general) within the three-year period prior to the submission deadline. All documentation of CME must accompany the application materials and must be received no later March 1.

**Peer review:** To further ascertain and document the candidate's qualifications for certification, the Board will solicit information and comments from appropriate individuals. The Board will request information from the Federation of State Medical Boards databank regarding adverse actions taken against the applicant relative to licensure. The Board will request completion of confidential peer review questionnaires from the Chief of Urology and/or Surgery, the Chief of Anesthesiology, the Chief of Pediatrics, and the Chief of Staff for each facility in which the applicant practices, documenting the applicant's status in the medical community.

The candidate must sign a waiver authorizing any and all third parties contacted by the Board to furnish to the Board such records and information, including confidential information related to the candidate's abilities and reputation as a urologist, as the Board in its sole discretion may deem necessary or advisable. Under no circumstances will the source of any peer review be revealed to any person other than Trustees and Staff of the Board.

**Release of liability:** As a condition of application to the certification process, applicants must sign a waiver releasing, discharging, and exonerating the Board, its Trustees, officers, members, examiners, employees, and agents from any and all claims, losses, costs, expenses, damages, and judgments (including reasonable attorneys' fees) alleged to have arisen from, out of, or in connection with the subspecialty certification process.

**Release of results:** As a condition of application to the subspecialty certification process, the applicant must sign a waiver agreeing to allow the Board to release application information or examination results achieved in the Pediatric Subspecialty Certification Examination to the

residency Program Director, the Residency Review Committee for Urology, and any third parties the Board deems necessary.

**Disability accommodations policy:** An applicant requesting accommodations during Board examinations due to a physical or mental disability or other limitation that substantially interferes with the ability to complete an examination must indicate this request on the application provided by the Board. A recent evaluation and appropriate formal documentation by a qualified professional that substantiates the disability or limitation must accompany the application. The Board may then have any and all documentation and/or evaluations submitted by the candidate reviewed by an additional qualified professional. This can be done at the Board's discretion and the Board will bear the cost of any additional review or evaluation. The respective Committee of the Board will make the final decision for accommodations that will be offered based on the specific examination process in question.

**Requirements for applicants with a history of chemical dependency:** Such applicants will not be admitted to the subspecialty certification process unless they present evidence to the Board that they have satisfactorily completed the program of treatment prescribed for their condition. In addition, any such applicants for the Pediatric Subspecialty Certification Examination may have a site visit of their practices by a representative of the Board.

**Board review of credentials:** Upon receipt of the practice logs and peer review information, the Pediatric Subspecialty Certification Committee of the Board will review the candidate's credentials. Evidence of ethical, moral, and professional behavior, and an appropriate pattern of urologic practice including experience with an adequate volume and variety of clinical material, will be sought. Additional information may be requested by the Executive Secretary. Areas of inadequacy may be cause for deferral or discontinuation of the subspecialty certifying process until these areas are clarified or corrected. Actions of the Board to achieve clarification may include:

- a. inquiry by the Pediatric Subspecialty Certification

- Committee of the Board into practice irregularities;
- b. request for certified copies of candidate's health care facility and/or office records for review;
- c. invitation to appear before the Board for a personal interview;
- d. a site visit to the candidate's community at the candidate's expense (\$2,000 + expenses); and/or
- e. other appropriate measures that may be deemed necessary to assess apparent deviations from standard urologic practice.

The candidate will not be permitted to continue the subspecialty certification process until the Board has satisfied itself of the appropriateness of the candidate's practice pattern and professional behavior.

The Board may elect to defer continuation of the subspecialty certification process pending investigation and resolution of any inadequacies or deviations. It may deny subspecialty certification when serious practice deviations or unethical conduct are detected. These include, but are not limited to, cheating on or improper or disruptive conduct during any examination conducted by the Board, the solicitation or distribution of examination materials, and misrepresentation of an applicant's status in the subspecialty certification process.

### **THE PEDIATRIC SUBSPECIALTY CERTIFICATION EXAMINATION**

The ABU has a responsibility to protect the integrity of its examination material from unauthorized use. All ABU exams are considered intellectual property. Hence, all published ABU examinations are copyrighted on an annual basis.

The examination is the final component of subspecialty certification. It is taken after satisfactory completion of the other elements of the process. The Pediatric Subspecialty Certification Examination (PSCE) will be given on two separate days in a computer-based format at Pearson VUE Testing Centers across the United States. The candidate may take the

examination on either day, dependent upon site availability. The 2018 PSCE will be offered on October 12 and October 19, 2018.

After the candidate has met all requirements, paid all fees, and been approved by the Board to sit for the examination, a letter will be sent to the candidate notifying the candidate he or she is eligible to sit for the examination. An appointment to sit for the examination can be scheduled with Pearson VUE during the registration time stated in the letter.

The four-hour examination will consist of 150 multiple choice questions and will be designed to assess knowledge in the field of pediatric urology. The exam will include all aspects of pediatric urology, including but not limited to: congenital abnormalities, childhood acquired urologic problems such as tumors and trauma, and overlapping problems of adolescence.

**Failure to pass the examination:** An applicant failing the Pediatric Subspecialty Certification Examination may repeat the exam during the next cycle. There is a \$350 repeat examination fee on subsequent applications.

Candidates seeking subspecialty certification have 3 opportunities to pass the examination, and must do so within 6 years of completing the fellowship process. All cases will be reviewed on an individual basis by the appropriate subspecialty certification committee. In order to re-enter the process, candidates who have “timed-out” or failed three attempts at certification, will require completion of an additional fellowship year in an ACGME accredited fellowship.

### **IRREGULAR EXAMINATION BEHAVIOR**

The American Board of Urology is committed to maintaining the integrity of qualifying and certifying examinations. These tests are a critical basis of the decision-making process for Urology Board certification.



Irregular behavior threatens the integrity of the ABU certification process. Irregular behavior is defined as any action by applicants, examinees, potential applicants, or others that subverts or attempts to subvert the examination process.

Examples of irregular behavior include, but are not limited to:

- Falsifying information
- Giving, receiving or obtaining unauthorized assistance during the exam.
- Altering or misrepresenting scores.
- Behaving in a disruptive or unprofessional manner at a testing site.
- Theft of examination materials.
- Unauthorized reproduction, by any means, and/or dissemination of examination content or other copyrighted materials.
- Posting or discussing content on any website, or asking others to do so.

If the Board is made aware of irregular behavior on the part of an individual participating in an ABU examination process, the Board will review the information and determine if there is sufficient evidence of irregular behavior. The individual in question is required to cooperate during that review/investigation with ABU officials. Consequences for irregular behavior may include but are not limited to a warning, censure, deferral from the certification process, or suspension, or revocation of a current ABU certificate.

## **FEES AND DEADLINES**

The current examination fees may be changed without notice. Fees reimburse the Board for expenses incurred in preparing and processing the applications and examinations of the candidate.

**Application fees:** Payment of \$2,500 in US dollars must accompany the initial application for Pediatric Urology Subspecialty Certification.

An applicant or candidate secures no vested right to subspecialty certification as a result of paying an examination fee.

**Late fees:** A \$750 late fee will be assessed for any application and/or documentation and/or fees not received in the Board office by the prescribed deadlines. Courier service for guaranteed receipt is recommended. Late fees are non-refundable.

**Cancelation fees:** Cancelation fees are as follows: \$750 for failure to appear; \$500 for an unexcused absence; \$250 for an excused absence (in cases of personal or family illness or death).

**Excused absences:** Only one excused absence is permitted at the discretion of the Board, and this extends the period of admissibility to the next examination. The excused absence fee of \$250 will be assessed. Following one excused absence, any subsequent absences are classified as unexcused. There will be no further extensions of admissibility, and an unexcused absence fee and reinstatement fee, if any, will be assessed.

**Other fees:** A \$100 fee will be assessed for all returned checks.

**Refunds:** Fees are refundable, less an administrative fee, in most cases of cancelation or deferral. Fees shall be refunded to candidates deferred by the Board, less a \$100 administrative fee; or, if deferred for an inadequate practice log, a \$100 administrative fee.

**Log Resubmission Fee:** A \$500 fee will be assessed to the candidate for any resubmission of practice log data due to their error or omission.

**Annual Certificate Fee:** Beginning the year following satisfactory completion of the initial certification process, the Diplomate will be invoiced a mandatory annual certificate fee. This fee will replace any fees for maintenance of certification. The amount is currently \$200 per year, but is subject to change.

## **LIFE LONG LEARNING PROGRAM**

Beginning in 2007, those doctors who become certified, recertified, or subspecialty certified will enter a process of Life Long Learning (LLL) Program formerly known as Maintenance of Certification). LLL is designed to evaluate the continued competence of a Diplomate. LLL was developed by the American Board of Medical Specialties (ABMS) and its 24 member boards and has been supported by the Accreditation Council for Graduate Medical Education (ACGME), the American Medical Association (AMA), the Federation of State Medical Boards (FSMB), and many other organizations.

**LLL is a continual developing process and thus the requirements may change as mandated by the ABMS.**

All subspecialty certificates issued by the American Board of Urology are time limited and subject to Life Long Learning (LLL) Program. They are valid for 10 years only and will expire on the anniversary of the date of issue.

Diplomates who were originally certified before 1985 and have time-unlimited certificates will maintain those certificates as time-unlimited. However, if the Diplomate also earns a subspecialty certificate, the Diplomate will enter the LLL process which includes the subspecialty and general certificate.

Diplomates who were originally certified in 1985 or later have time-limited certificates. If a Diplomate also earns a subspecialty certificate, the original urology certificate will be extended to have the same expiration date as the subspecialty certificate. The Diplomate will enter the LLL process as of completion of subspecialty certification, and will be required to complete all components on that timeline.

The LLL process will extend over a ten-year period, with some requirements in the process to be completed every four years. A chart showing the requirements appears on the last page of this handbook. Life Long Learning Program will be integrated into the current recertification process. Diplomates will be required to periodically

complete self-assessment programs developed by the Board, meet continuing medical education requirements, and submit practice logs as part of this process. Successful completion of an examination will be required within the three year period prior to expiration of the Diplomate's certification.

For those who have already entered a LLL cycle through Certification or Recertification; following Subspecialty Certification, a new LLL cycle will begin at Level 1 regardless of prior completion.

The first level of LLL will include submission of an application form, documentation of an unrestricted medical license, peer review, submission of CME's, completion of a Patient Safety Module, Professionalism and Ethics Module and completion of a Practice Assessment Protocol (PAP) in an area of their practice. The PAPs are non-graded practice improvement tools developed by the Board and based on current Clinical Guidelines where possible. In certain subspecialty areas, PAPs are also developed in accordance with the best available literature. They will involve a self-review of a small number of sequential cases in a specific area; a comparison of the Diplomate's evaluation and management of these cases to accepted practice guidelines or literature as stated above; and the successful answering of a short series of questions regarding the clinical guidelines. The applicant will be linked via the internet to an AUA Guideline or similar document with the appropriate answers and will correct any errors until he or she has answered the questions correctly. The PAP is not scored and no scores are maintained for Diplomates. The PAP is designed as a self-assessment tool only. This process will be completed via the internet and the Board office will be automatically notified when the PAP is completed.

Requirements for Level 2 are shown in the chart at the back of this handbook. They include application submission, documenting unrestricted medical licensure, completion of further PAPs, patient safety modules, completion and documentation of CME credits, satisfactory peer reviews, and an adequate practice log submission, culminating with a knowledge assessment.

The office of the American Board of Urology will notify Diplomates holding a time-limited certificate when each phase of LLL is required. The handbook will be available at the Board's web site, [www.abu.org](http://www.abu.org) and on request from the Board office. It is essential, and the Diplomate's responsibility, to be sure that the ABU office has current contact data. Notification of the AUA of an address change does not mean that the ABU has been notified.

A physician who fails to complete the LLL process by the certificate expiration date is no longer considered a Diplomate of the Board. Additionally, the American Board of Medical Specialties and sponsoring organizations will be notified that the certificate has expired.

More specific details will be available on the Board website, [www.abu.org](http://www.abu.org), in the annual ABU Report newsletter, and in various mailings, talks and articles by the Trustees as the implementation process progresses.

Diplomates are responsible for keeping the Board office informed of changes in their mailing and email addresses. Failure to do so could risk expiration of the Diplomate's certificate.

## **PSCE IMMERSION**

Applicants intending to maintain pediatric subspecialty certification, following successful completion of the examination, must continue pediatric urology immersion while in PSC LLL. Diplomates who choose to maintain certification in the subspecialty of pediatric urology will be held to the same standard and rigor required for initial certification. This will apply to both the practice log and the examination.

## **POLICIES**

### **PROFESSIONALISM AND ETHICS**

The American Board of Urology is committed to the principle that patient welfare is preeminent. This principle presupposes a responsibility to the patient that transcends personal gain and thereby

engenders both individual patient and public trust. It is the cornerstone of the ethical and moral framework by which the physician is bound.

The physician-patient relationship, however, is part of a more complex social network that also includes relationships within the profession and society as a whole. A variety of societal forces increasingly conflict with the responsibility of physicians to their patients and the public. Rapidly advancing technologies, relationships with commercial entities, increased demands for documentation, rising health care costs, declining reimbursement, and increasing patient autonomy place conflicting demands on the physician and potentially lead to compromise of patient welfare.

Urologists, in particular, are faced with technological advances that demand increased training but also offer increased opportunity for entrepreneurialism. From this perspective medicine is viewed as a specialized personal service at variance with public responsibility and one that belies the trust instilled in the physician. As a consequence, there has been a call for a renewed commitment to professionalism.

A number of organizations have attempted the development of a code of ethics and professionalism that set forth principles and responsibilities the physician can consult for guidance when confronting an ethical dilemma. In these documents, a number of qualities or virtues are repeatedly espoused, including justice, honesty, competence, impartiality, preservation of patient confidentiality, patient autonomy, and unbiased medical care. To address this need, representatives from the American Board of Internal Medicine Foundation, the European Federation of Internal Medicine and the American College of Physicians-American Society of Internal Medicine collaborated on the Medical Professionalism Project which was charged with developing a charter that provides a basic set of tenets for ethical and professional behavior. The group intended to create a document that is applicable across medical and surgical specialties, healthcare systems, and cultures. To that end, they set forth three Fundamental Principles and a set of ten core commitments that serve to guide the professional and ethical conduct of physicians.

Although this Charter has met with widespread enthusiasm, it has not been uniformly endorsed by all physician groups; indeed it has been criticized for emphasizing a duty-based ethic (that is, duty to those around us), rather than a virtue-based ethic (which focuses on individual traits of human character). Likewise, some have objected to the emphasis on achieving “competence” rather than encouraging excellence, and to the contractual tone of the document that implies an inherent basis of mistrust. While these criticisms may be valid, the document serves as a starting point for a conversation about professional responsibility and provides a framework for moral, ethical and professional conduct. The American Board of Urology endorses the Physician Charter and encourages and expects the urologic community will uphold the commitments which support the fundamental principles set forth by the document.

## **CODE OF ETHICS**

Ethics are moral values. They are aspirational and inspirational, as well as model standards of exemplary professional conduct for all applicants for certification and all Diplomates certified by the American Board of Urology. The term urologist as used here shall include all such candidates and Diplomates.

The issue of ethics in urology is resolved by a determination that the best interests of the patient are served. It is the duty of a urologist to place the patient’s welfare and rights above all other considerations. Urological services must be provided with compassion, respect for human dignity, honesty, and integrity.

A urologist must maintain qualification by continued study, performing only those procedures in which he or she is qualified by virtue of specific training or experience, or with the assistance of one who is so qualified. This experience must be supplemented with the opinions and talents of other professionals and with consultations when indicated.

Open communication with the patient, or the patient’s relatives or other authorized representative if the patient is unable to understand this communication, is essential. Patient confidences must be

safeguarded within the constraints of the law. The performance of medical or surgical procedures shall be preceded by the appropriate informed consent of the patient or the patient's authorized representative. Timely communication of the patient's condition to referring and consulting physicians should also be practiced.

Urologic surgery shall be recommended only after careful consideration of the patient's physical, social, emotional, and occupational needs. The preoperative assessment must document indications for surgery. Performance of unnecessary surgery is an extremely serious ethical violation.

Fees for urologic services must not exploit patients or others who pay for those services. In addition, a urologist must not misrepresent any service which has been performed or is to be performed or the charges which have been made or will be made for that service. Payment by or to a physician solely for the referral of a patient (fee splitting) is unethical.

Delegation of services is the use of auxiliary health care personnel to provide patient care for which the urologist is responsible. A urologist must not delegate to an auxiliary those aspects of patient care within the unique practice of the urologist (excluding those permitted by law to be performed by auxiliaries). When other aspects of patient care for which the urologist is responsible are delegated to an auxiliary, the auxiliary must be qualified and adequately supervised. A urologist may make different arrangements for the delegation of patient care in special circumstances, such as emergencies, if the patient's welfare and rights are placed above all other considerations.

Providing a patient's postoperative medical or surgical care until that patient has recovered is integral to patient management. The operating urologist should provide those aspects of postoperative patient care within the unique experience of the urologist (excluding those permitted by law to be performed by auxiliaries). Otherwise, the urologist must make arrangements before surgery for referral of the patient to another urologist, with the approval of the patient and the other urologist. The urologist may make different arrangements for provision of those aspects of postoperative patient care within the unique experience of



the urologist in special circumstances, such as emergencies or when no other urologist is available, if the patient's welfare and rights are placed above all other considerations. Fees should reflect postoperative medical or surgical care arrangements with advance disclosure to the patients.

Scientific investigations and communications to the public must be accurate. They must not convey false, deceptive, or misleading information through statements, testimonials, photographs, graphs, or other means. They must not omit material information without which the communication would be deceptive.

Communications must not appeal to an individual's anxiety in an excessive or unfair way; they must not create unjustified expectations of results. If communications refer to benefits or other attributes of urologic procedures which involve significant risks, a realistic assessment of safety and efficacy must also be included, as well as the availability of alternatives, with descriptions and/or assessments of the benefits and other attributes of those alternatives when necessary to avoid deception.

Communications must not misrepresent a urologist's credentials, training, experience, or ability, or contain material claims of superiority which cannot be substantiated. If a communication results from payment to a urologist, such must be disclosed, unless the nature, format or medium makes that apparent. Offering or accepting payment for referring patients to research studies for finder's fees is unethical.

Those urologists who are deficient in character or who engage in fraud, deception, or substance abuse should be identified to appropriate local, regional, state, and/or national authorities. A physically, mentally, or emotionally impaired urologist should withdraw from those aspects of practice affected by the impairment.

Diplomates of the Board must accurately state their certification status at all times. This includes descriptions in curriculum vitae, advertisements, publications, directories, and letterheads. Diplomates with expired time-limited certificates may not claim board certification and must revise all descriptions of their qualifications accordingly.

When a physician misrepresents certification status, the Board may notify local credentialing bodies, licensing bodies, law enforcement agencies and others.

Diplomates of the Board must notify the American Board of Urology in writing of any action taken by any state medical board against a medical license, even if the action does not result in revocation.

## **DISCIPLINARY ACTION**

The Board of Trustees of the American Board of Urology shall have the sole power to censure, suspend, or revoke the certificate of any Diplomat. Certificates issued by the Board are the property of the Board and are issued pursuant to the rules and regulations of the Board. Each certificate is issued to an individual physician who, by signature, agrees to censure or suspension or revocation of the certificate as described herein. If it is determined by the Board that any certificate issued to a Diplomat is to be suspended or revoked, this decision shall apply to all certificates issued to that Diplomat.

The Board of Trustees shall have the sole power, jurisdiction, and right to determine and decide whether the evidence and information before it is sufficient to constitute one of the disciplinary actions by the Board. The levels of disciplinary action and manner of notification, appeal, and reinstatement, shall be defined as follows:

### **Notification**

If the action of the Board is to censure, suspend, or revoke the certificate of a Diplomat, the Board shall send written notice thereof to the Diplomat. The notice shall state the reasons for the Board's decision.

### **Censure & Suspension**

A Diplomat may be censured or have his or her certificate suspended if he or she has been found by the Board to have engaged in professional misconduct or moral turpitude or for violations of the

*Code of Ethics* of the American Board of Urology not warranting certificate revocation. Alterations in licensure such as probation or suspension will necessitate a change in certification status until the license status is returned to unrestricted.

The Board of Trustees of the American Board of Urology shall have the sole power to determine the level of disciplinary action and the designated level of suspension. Censure or suspension of a Diplomate may be listed in the annual *ABU Report*.

**Censure:** A censure shall be a written reprimand to the Diplomate. Such censure shall be made part of the file of the Diplomate.

**Suspension:** A suspension shall be a written reprimand to the Diplomate. Such suspension shall be made part of the Diplomate file and the ABMS will be notified immediately. The Board shall have the sole power to determine the designated length of suspension. The Diplomate should notify the Board at any time during the suspension if and when any encumbrance is resolved. The Board will notify both the Diplomate and the ABMS in writing once the suspension is officially removed. Recertification will be necessary if a time-limited certificate expires during the period of suspension, and will be subject to LLL.

### **Revocation of Certificate**

Certificates issued by this Board are the property of the Board and are issued pursuant to the rules and regulations of the Board. Each certificate is issued to an individual physician who, by signature, agrees to revocation of the certificate in the event that:

- a. the issuance of the certificate or its receipt by the physician so certified shall have been contrary to, or in violation of any provision of the Certificate of Incorporation, Bylaws, or rules and regulations of the Board in force at the time of issuance; or
- b. the physician or party certified shall not have been eligible to receive such certificate, regardless of whether or not the facts constituting ineligibility were known to, or could have been ascertained by, the Trustees of the Board at the time of issuance of such certificate; or

- c. the physician or party so certified shall have made a material misstatement of fact in application for such certification or recertification or in any other statement or representation to the Board or its representatives; or
- d. the physician so certified shall at any time have neglected to maintain the degree of knowledge in the practice of the specialty of urology as set up by the Board, and shall refuse to submit to re-examination by the Board; or
- e. the physician so certified is convicted of a felony, scientific fraud, or a crime involving illicit drugs; or
- f. any license to practice medicine of the physician so certified is surrendered, suspended, revoked, withdrawn, or voluntarily returned in any state regardless of continuing licensure in any other state, or he or she is expelled from any of the nominating societies, a county medical society, or a state medical association for reasons other than non-payment of dues or lack of meeting attendance; or
- g. the physician so certified has been found guilty by the Board of serious professional misconduct or moral turpitude or for serious violation of the *Code of Ethics* of the American Board of Urology that adversely reflects on professional competence or integrity.
- h. Revocation may occur if a Diplomate, after repeated notification, fails to pay the required \$200 annual fee and applicable late fees by November 1 in a given year.
- i. If a Diplomate does not comply with LLL deadlines in the calendar year in which they are required, his/her certificate may be revoked.

Revocation of a Diplomate's certificate may be mentioned in the annual *ABU Report* and on the Board's website.

### **Reinstatement of Certificate**

Should the circumstances that justified revocation of the Diplomate's certificate be corrected, the Board may allow the candidate to reapply for certification. The Board of Trustees shall have the sole power to

determine the time of initiation of the reinstatement process. The applicant whose certificate has been revoked may be required to complete the certification or recertification process at the discretion of the Board.

Prior to reinstatement of certification, the applicant may be required to meet with the Board. The Diplomate will be required to attest that he or she has read and understands the above provisions regarding disciplinary action and the procedures to be followed and agree to hold the Board, its officers, and agents harmless from any damage, claim, or complaint by reason of any action taken which is consistent with such procedures.

### **Appeals Procedure**

1. **Certification is a Matter of the Board's Medical Judgment and Discretion:** Final action regarding each applicant's certification is the sole prerogative of the Board and is based upon the applicant's training, professional record, performance in clinical practice, and the results of the examinations given by the Board. Regardless of the sequence by which the various steps of certification may have been accomplished, the process itself is not considered complete until the Board's final action. At any point in the process, the Board may delay or even deny certification upon consideration of information that appears to the Board to justify such action. The activities described in this handbook proceed from the Certificate of Incorporation and Bylaws, which state the nature of the business, objects, and purposes proposed to be transacted and carried out by this corporation.
2. **Adverse Decision Inquiry - Individual Requirement:** During the course of the Certification, Recertification, or Maintenance of Certification process, a candidate may receive an adverse decision regarding an individual requirement of the process. A candidate who believes he or she may have received such an adverse decision may inquire in writing to the Executive Secretary within 30 days after written notification by the Board of the adverse

decision about which the candidate inquires. Adverse decision inquiries will be handled as follows:

- a. For inquiries concerning a candidate's failure of the PSC Examination, the Board will review the candidate's PSC Examination responses;
- b. For inquiries concerning peer review, practice logs, and/or malpractice and professional responsibility experience, the Board, will review the individual requirement in question.

For the purposes of conducting its review, in either situation (a) or (b) above, the Board may authorize the Chairman of the Pediatric Subspecialty Certification Committee, or the full Pediatric Subspecialty Certification Committee to act in its stead. In such cases the Chairman or the Committee shall act with full authority of the Board in reviewing the individual requirement in question. After its review of the individual requirement in question, the Board shall make a determination as to the candidate's fulfillment of the requirement. The Board may (1) confirm the adverse decision; (2) determine that the candidate satisfied the individual requirement in question and reverse the adverse decision; (3) vacate the adverse decision and direct the candidate to take action to fulfill the individual requirement in question; or (4) make another determination.

3. **Adverse Decisions - Certification or Revocation:** After reviewing a candidate's application for subspecialty certification and the supporting materials thereof, the Board shall make a determination as to the candidate's fulfillment of the requirements for subspecialty certification. The Board may (1) determine that the candidate has satisfied the requirements, and grant subspecialty certification; (2) determine that the candidate has not satisfied the requirements, and deny subspecialty certification; (3) revoke the certificate of the Diplomate; or (4) make another determination.

Should the Board decide to deny subspecialty certification to a

Diplomate or to revoke the certificate of a Diplomate, the Board shall send written notice thereof to the applicant or Diplomate. The notice shall state the reasons for the Board's decision.

4. **Request for Hearing; Hearing Fee and Deposit:** A Diplomate who receives a notice that either (1) his or her subspecialty certification was denied; or (2) his or her certificate was revoked, may request a hearing to appeal the denial or revocation. In order to request a hearing, the former Diplomate must, within thirty (30) days after notification by the Board, send written notice to the Board that he or she wishes to request a hearing to appeal the Board's decision. The written notice shall set forth the specific reasons given by the Board which are alleged to be erroneous and shall indicate whether the applicant or Diplomate wishes to attend the hearing. In order to be considered by the Board, a Request for Hearing must be accompanied by two certified checks, made payable to the Board, as follows:
  - a. A certified check in the amount of \$2,000.00 in satisfaction of the required, non-refundable filing fee; and
  - b. A certified check in the amount of \$10,000.00 as a deposit for costs of the hearing, pursuant to paragraph 6 below.

Any purported Request for Hearing that is not accompanied by two certified checks as provided above shall be considered untimely.

A Diplomate properly making a Request for Hearing in the manner provided above shall be referred to as an "appellant."

5. **Notice of Hearing:** If the Board receives an appellant's Request for Hearing in a timely manner, the Board shall set the date, time, and place of the hearing, and shall give the appellant at least thirty (30) days prior written notice thereof.
6. **Fees, Costs, and Expenses of Revocation Hearing:**

- a. As noted above, the appellant shall pay to the Board a \$2,000.00 fee and a \$10,000.00 deposit for the costs of the hearing. Board guidelines for travel, meals, and lodging shall apply to all such expenses.
- b. The appellant's costs and expenses shall be the sole responsibility and obligation of the appellant.
- c. The Board's costs and expenses shall be the sole responsibility and obligation of the Board.
- d. The \$10,000 deposit shall be refunded if the appellant notifies the Board in writing at least 30 days before the date of the hearing that he has decided not to pursue the appeal.

The \$2,000 hearing fee is not refundable under any circumstances.

7. **Hearing:** The hearing shall be held before the Board of Trustees or before a hearing panel consisting of one or more persons appointed by the Board, as it may determine in its sole discretion. The President of the Board, or, if a hearing panel is appointed, a person appointed by the Board of Trustees, shall preside at the hearing. At the hearing, the burden shall be on the appellant to prove by a preponderance of the evidence that the Board's decision was erroneous.
8. **Failure to Appear:** Failure to appear at the hearing may result in the forfeiture of the right to a hearing, as the Board of Trustees (or the hearing panel) may determine, in its sole discretion. Despite such failure to attend, the Board of Trustees (or the hearing panel) may nevertheless hold the hearing, consider the information submitted, and decide the appeal. In all cases where a hearing panel is appointed, the hearing panel shall act with full authority of the Board, and its decisions shall be the Board's decisions.



9. **Hearing Procedure:** The appellant may appear at the hearing to present his or her position in person, at the time and place specified by the Board, subject to any conditions established by the Board. A transcript of the proceedings shall be kept. The Board shall not be bound by technical rules of evidence employed in legal proceedings, but may consider any information it deems appropriate. The appeals process is a peer review process and neither party may be represented by, or be accompanied by legal counsel, except that the Board may have legal counsel present to advise the Board with respect to procedural issues.
10. **Notice of Decision:** Within a reasonable time after completion of the hearing, the Board shall furnish written notice to the appellant of the decision, including a statement of the basis therefore.
11. **Finality:** The decision of the Board (or the hearing panel) shall be a final decision of the Board and shall be binding on the Board and on the appellant.
12. **Notices:** All notices or other correspondence described herein or otherwise pertaining to an appeal should be sent to the following address:

The American Board of Urology  
600 Peter Jefferson Parkway, Suite 150  
Charlottesville, VA 22911  
ATTN: Executive Secretary

### **APPLICABLE LAW**

All questions concerning the construction, validity, and interpretation of the certification, recertification, and maintenance of certification procedures followed by the American Board of Urology and the performance of the obligations imposed thereby shall be governed by the internal law, not the law of conflicts, of the State of Virginia. If any action or proceeding involving such questions arises under the Constitution, laws, or treaties of the United States of America, or if there is a diversity of citizenship between the parties thereto, so that it

is to be brought in a United States District Court, it shall be brought in the United States District Court for the Western District of Virginia.

### **FINAL ACTION OF THE BOARD**

Final action regarding each applicant is the sole prerogative of the Board and is based upon the applicant's training, professional record, performance in clinical practice, and the results of the examination given by the Board.

**Regardless of the sequence by which the various steps of subspecialty certification may have been accomplished, the process itself is not considered complete until the Board's final action. At any point in the process, the Board may delay or even deny certification upon consideration of information that appears to the Board to justify such action. The activities described in this handbook proceed from the Certificate of Incorporation and Bylaws, which state the nature of the business, objects, and purposes to be transacted and carried out by this corporation.**

### **"BOARD ELIGIBLE" STATUS**

The American Board of Urology recognizes the term Board Eligible in reference to its applicants and candidates. A candidate is not certified until all components of the certification process have been successfully completed. However, in the case of initial general urology certification (for applicants completing urology residency June 2014 or later), the period from July 1 or the date of completion of residency training for 6 years or until successful completion of the certification process or failure to pass the Qualifying (Part 1) Examination or Certifying (Part 2) Examination in three attempts, whichever comes first, is considered the "board eligible" timeframe. If certification is not completed in that timeframe or within three attempts at either exam, or if the Board eligible timeframe ends, the candidate will cease to use that term further. There is no board eligible timeframe for subspecialty certification.

Applicants already in the certification process who finished their urology residency training prior to July 2014 are considered board eligible during the period from July 1, or the date of completion of residency training, for 5 years or until successful completion of the certification process, whichever comes first.

### **INQUIRY OF STATUS**

The Board considers a candidate's record not to be in the public domain. When a written inquiry is received by the Board regarding a candidate's status, a general but factual statement is provided that indicates the person's status within the examination process. The Board provides this information only to individuals, organizations, and institutions supplying a signed release of information from the candidate, and a charge of \$50 per request will apply.

### **UNFORSEEABLE EVENTS**

Certain unforeseeable events such as severe weather, natural disasters, war, power outages, government regulations, strikes, civil disorders, curtailment of transportation, and the like may make it inadvisable, illegal, or impossible for the Board to administer an examination to a candidate at the scheduled date, time, and location. In any such circumstance, the Board is not responsible for any expense the candidate may have incurred to be present for the examination or may incur for any future or substitute examinations.

<b>LLL REQUIREMENTS</b>		
<b>Requirements</b>	<b>Level 1 (year 4)</b>	<b>Level 2 (year 7, 8 ,9)</b>
<b>Complete application online</b>	<b>yes</b>	<b>supplemental application</b>
<b>ABU office verify licensure</b>	<b>yes</b>	<b>yes</b>
<b>ABU office complete peer review</b>	<b>yes</b>	<b>yes</b>
<b>Candidate: Complete online Practice Assessment Protocol</b>	<b>yes</b>	<b>yes</b>
<b>Candidate: Submit documentation of 90 hours of CME</b>	<b>yes</b>	<b>yes</b>
<b>Candidate: Complete Patient Safety Module</b>	<b>yes</b>	<b>yes</b>
<b>Candidate: Complete Professionalism and Ethics Module</b>	<b>yes</b>	
<b>Candidate: Submit 6 month electronic practice log, 12 month electronic log for subspecialty</b>		<b>yes</b>
<b>Candidate: Computer-based knowledge assessment</b>		<b>yes</b>

## American Board of Urology Fees

### **Qualifying (Part 1) Examination**

Residents- \$1300 (may defer fee until Jan 5)

Practitioners & Fellows- \$1300 (fee must be submitted with application, Nov 1)

### **Certifying (Part 2) Examination- \$1800**

Re-examination- \$1800

### **Preliminary Examination -\$1000**

### **Pediatric Subspecialty Certification- \$1845**

### **FPM-RS Subspecialty Certification- \$1845**

**Re-Examination** after failure of any exam [except Certifying (Part 2)Exam]-  
\$350

**Annual Certificate Fee- \$200** (increases to \$400 after April 1 and \$800 after  
July 1)

### **Other Fees**

Administrative Fee-\$100

“NSF” (non-sufficient funds for returned check) Fee-\$100

Site Visit (plus expenses)-\$2000

Appeal hearing-\$2000 non-refundable filing fee; \$10000 deposit for costs (re-  
fundable)

Official Verification of Status-\$50

Log Resubmission Fee (for omission or error)-\$500

Deferral for inadequate log (balance of application fee returned)-\$200

Charge for Typing of Practice Log-\$500

Charge for Typing of Pediatric/Female Pelvic Medicine Practice Log-\$750 (12  
months)

### **Late Fees**

For application, documentation, fees, log-\$750

For CME and all LLL requirements only- \$200

### **Cancelation Fees**

Excused absence-\$250

Unexcused absence-\$500

Failure to appear-\$750

### **Reinstatement Fees**

After expired or revocation of certificate-\$1500

After two successive absences from an examination-\$700

\*\*\*There is no application fee for Lifelong Learning or Recertifica-  
tion; however, Diplomates must be current on the annual certificate fee payment.

## **Application Filing Deadlines for the Pediatric Urology Subspecialty Certification Examination**

**Applications Posted to the ABU Website: [www.abu.org](http://www.abu.org)  
December 11, 2017**

Application and Practice Log Due: March 1, 2018

Late applications/logs: March 2 – March 15, 2018

No applications or logs will be accepted after  
March 15, 2018.

The following must accompany applications:

- Electronic Practice Log
- Log Verification Documentation
- Practice Breakdown Form
- Complications Narratives
- CME Documentation
- Fellowship Documentation
- \$1,845 Exam Fee